

**NATIONAL RESEARCH DEVELOPMENT CORPORATION**  
(A Govt. of India Enterprise)

**“Anusandhan Vikas”, 20-22, Zamroodpur Comm.Centre  
Kailash Colony Extension, New Delhi-110048, India  
Tel : +91 11 29240401-08 Fax: +91 11 29240409-10  
E-mail : sksakhuja@nrdc.in Website: nrdcindia.com**

**APPLICATION FORM FOR ANGEL FUNDING**

Separate sheets may be attached, if the space given against any item is not adequate.  
Strike out whichever is not applicable.

**A. General Information**

1. Name and address of the company  
(Including Units & Branches, if any)
2. Date of Incorporation/ Commencement of business
3. Main objectives of the company and current nature of activities
4. Name of the product (s) (including by product) /process
5. Existing & proposed products to be developed
6. Details of Statutory Registrations
7. Bankers name and address
8. In the case of existing company Name and address of Auditors for the last year
9. Name and address of Directors (with DIN)/ Promoters
10. Name and address of top five Shareholders

## B. Technical & Financial Details

1. Technical collaboration, if any:  
Foreign/indigenous

Sr.No.	Name and Address of the Technical Collaborator	History of Collaboration

Brief note on the distinct competitive advantage of the technology

2. Main products and services:

Sr.No.	Product and services	Capacity	For current year	
			Qty.	In Rs

3. Details of R&D activities

- i) Whether recognized by DSIR: Yes/No
- ii) Annual expenditure -----
- iii) Intellectual Property Rights, if any  
Patents Filed: India International  
Patents Granted:  
Trademarks:  
Other:
- iv) Major R&D achievements: -----

Brief note on the IPRs likely to be developed and the customers for those IPRs

4. Capital structure
  - a) i) Authorised capital
  - ii) Issued capital
  - iii) Paid up capital
  - b) Reserves, if any
  - c) Details of loans, if any  
(Separately short & long term loans)
  - d) Current assets & liabilities
  - e) Brief details & value of the Fixed Assets
  
5. Financials including previous three years, if applicable
 

Year	Turnover	Net Profit
-----	-----	-----
  
6. Manpower employed (as on date and projected)
  - a) Scientific & Technical
  - b) Sales & Marketing
  - c) Administrative
  - d) Others
  - e) External Consultants / Experts, if any
  
7. Technical and financial strength of the Directors and the Management Team (including details of the guarantees given and Loans availed by the Directors)

**C. Proposal for which the applicant requires finance**

1. Details of the Project
2. Project Cost / Financing Plan (Equity & loan)
3. Amount of funding sought  
(From NRDC and from Others, if any)
4. Has the applicant applied for financial assistance for the same/ similar project from any Other institution/ agency, if so, details and status thereof.
5. Physical and financial projections for next three years (separately incremental growth)  
(Annex complete Business Plan)
6. Projected milestones (Annex bar charts)

7. Use of the products, by-products, components, instruments, software package
  - a) Present consumption pattern
  - b) How is the demand being met?  
Indigenous production / imports
    - i) By Whom / from whom
    - ii) Quantity / value in Rs.
  - c) Estimated future demand for next three years
  - d) Present market price of product
  - e) Plan for the market penetration and retention of customers
8. Market Assessment
  - a) Names of major customers
  - b) Market demand (Yearly and Total)
  - c) Marketability prospects & distribution problems
  - d) Product substitution, if any
  - e) How is demand fulfilled
  - f) Major Competitors
9. Product Quality / Specification
  - a. Specification of the product, I.S. or others
  - b. Have the products been tested to conform to the specification?
  - c. Consumer acceptability report on the products
  - d. Details of the Govt. regulations / directives in favour / against the company / Product
  - e. Testing of the software / benchmarking, etc.

10. Product / Process / software package
  - a) Detailed description of the process / machine  
Components / software package, its specific  
Advantages and scope of its present and potential application
  - b) Background information, existing technology  
and alternatives in India and abroad of  
comparable existing products.
  - c) Copy of the complete patent specifications  
If the product / process / software package  
is patented
  - d) Present status of R&D work
  - e) In the light of trends of research in this line,  
Does the proposal made, have reasonable  
Chances of standing test of time, say 10-15  
Years? Give justification
11. Any work done on development of prototype / pilot plant
  - a) Number of prototypes produced/  
Capacity of the pilot plant
  - b) Duration of investigations
  - c) Design data collected e.g. consumption of  
Raw materials, utilities, operating conditions,  
Yield, etc.
  - d) Whether the pilot plant is still in operation.  
If so, could it be demonstrated to prospective entrepreneurs?
12. Proposed Pilot Plant/Semi-commercial plant
  - a) Capacity proposed
  - b) Layout of the plant
    - i) Total land required for the project
    - ii) Total covered area required

13. Raw Materials
  - a) Specifications of the raw materials and their specific consumption
  - b) Their availability
    - i) Indigenous (Location)
    - ii) Imported (Sources)
14. Equipment for pilot plant, semi-commercial Plant, prototype
  - a) List of all equipment along with broad Specifications and cost
    - i) Indigenous equipment
    - ii) Imported equipment (country of origin)
  - b) Do you have any of this equipment already available?
  - c) Time required for procuring the equipment, installation, commissioning And trial production (bar pert chart)
15. Anticipated effluents waste and pollution problems and steps envisaged for tackling the same
16. Safety considerations, if any
17. Assumptions for the financial projections
  - a) Cost of the project and sources of finance
  - b) Projected cash flow during the developmental Period
  - c) Projected profitability statement
  - d) Break-even point
  - e) Financial analysis, if any
  - f) Attach Feasibility Project Report
18. What are your major inputs for the project?

19. Any restrictions difficulties anticipated in the implementation of the proposal (including primary risks facing this opportunity)
20. Any other point not covered by the above which you wish to highlight, the special Advantages, which are in your favour
21. Details of legal cases by and against the company, if any
22. Details of the Contingent liabilities, if any

I / we declare that the neither the company nor any of the promoters are defaulter to any of its lenders. I / we declare that the information given above is true and correct to the best of my / our knowledge and belief. Nothing material has been suppressed.

Place: -----

Signature: -----

Dated: -----

Name and Designation: -----

Company Seal: -----

## **List of documents to be submitted by the Company**

- Certificate of Incorporation/ Commencement of Business
- Copy of the Memorandum and Articles of Association of the Company
- Complete Note on the promoters' background and their strengths relevant to the proposed venture
- Annual Reports of existing Company (ies) of the Group, if any
- Detailed Project Report
- Complete Business Plan
- Copy of the market studies, feasibility studies, analyses etc. done, if any
- Copy of the recent analyses of the industry prepared by investment bankers, engineers, management consultants, accountants, or others, including marketing studies, credit reports and other types of reports, financial or otherwise, if any
- Chart indicating the project duration and implementation schedule with projected milestones
- In the case of existing company, brief information on Internal working, Management Team and SWOT Analysis
- Copy of the marketing and other descriptive brochures regarding the other Companies of the group
- Copy of the press releases and press clippings, if any of the Group Companies
- Certificate to the effect that the company had obtained all the statutory clearance for the project and product(s)
- Copy of latest Income Tax Return/ Assessment Order, if any
- Application Form
- Agreements (Investment, undertaking etc. by the company and promoters)
- Personal guarantee/ Bond of the Promoters (case to case basis)

## **Detailed Business Plan must include the following**

### **Introduction**

- About the Company
- About the Promoters
- Management Team including Mentors
- Directors

### **Technology & Product(s)**

- About the Technology
- Future Roadmap for product(s) development
- IPR Status
- Manufacturing Base/ Details about testing and quality practices

### **Marketing**

- Market potential of the product(s)
- Business Plan for Production & Sale
- Competitive Analysis
- Customer acquisition Strategy

### **Financials**

- Projected Cost
- Present & Proposed Financial Structure
- Projected Profit & Loss Account
- Projected Cash Flows
- Current shareholding Pattern
- Other funds raised/ Proposed
- Proposed Terms for fund raising from NRDC
- Proposed exit Plan for investors

### **Risk & Contingency Factors**